

SCHENECTADY COUNTY BOARD OF ETHICS

Post Office Box 823
Schenectady, NY 12301-0823
(518) 388-4358 FAX 388-4590

ethics@schenectadycounty.com patti.ellis@schenectadycounty.com

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE FOR THE YEAR ENDING 2016

Please read through before responding then answer all questions or the form will be returned to you for completion. If the question does not apply, check "None". You may use additional paper if necessary. The completed form may be mailed, dropped off to the confidential secretary (in a sealed envelope), scanned and emailed or faxed, but must have your signature. **All information will be kept confidential.**

Deadline April 15, 2017.

Please use the following "Reporting Categories letters" for the purpose of completing the Financial Disclosure Statement. No exact dollar amounts are to be listed anywhere on this form.

"A"	\$0 -	No Compensation	"E"	\$50,001 -	\$100,000
"B"	\$1 -	\$7,500	"F"	\$100,001 -	\$200,000
"C"	\$7,501 -	\$15,000	"G"	Over \$200,001	
"D"	\$15,001 -	\$50,000			

Name _____

Home Address _____

City/State/Zip _____ Phone _____

Email: _____

Ethics Law allows sanctions for failure to file, including, but not limited to, a civil penalty not to exceed \$10,000, prosecution for a Class "A" misdemeanor, and public disclosure. In addition, the County may impose disciplinary action, as otherwise provided by law.

I hereby certify under penalty of perjury that the information disclosed on this form is true and complete.

Signature _____

Date _____

1a). **Your Primary Position/Employment:**

Reporting Category

Department/
Agency/Authority _____

Title &
Business Address/Phone _____

1b). Your secondary Position/Employment:

(Any office, trusteeship, directorship, partnership, not-for profit, employment, profession)

NONE

Organization _____

Title &
Business Address _____

Is there any involvement with the County in any manner? Yes No
If yes please explain _____

1c). Your **Spouse's** Primary Position/Employment:

NONE Retired Disabled Other _____ Name: _____

Department/
Agency/Authority _____

Title &
Business Address _____

Is there any involvement with the County in any manner? Yes No
If yes please explain _____

Last Name: _____ 2016

1d). Your Spouse's Secondary Position/Employment:
(Any office, trusteeship, directorship, partnership, not-for profit, employment, profession)

Reporting Category

NONE

Organization _____

Title &
Business Address _____

Is there any involvement with the County in any manner? Yes No
If yes please explain _____

2a). Please list your dependent children as filed on your 1040 IRS form:

NONE Circle the minors who are under age and/or not employed: a, b, c, d

Name	Age	Name	Age
a). _____		c). _____	
b). _____		d). _____	

2b). Your **Dependent Child's** Primary Position/Employment:

Reporting Category

Organization _____

Title &
Business Address _____

Is there any County involvement in any manner? Yes No

If yes please explain _____

3a). Identify any other source of Income & Investment for Yourself:

(Retirement, pension, child support, consultant fees, teaching fees, investments or capital stock above a 5% share, gifts in excess of \$250. (excluding gifts from relatives), and third party reimbursements for travel related expenditures.)

Reporting Category

NONE

Source _____

Description _____

3b). Identify any other source of Income & Investment for your Spouse:

(Retirement, pension, child support, consultant fees, teaching fees, investments or capital stock above a 5% share, gifts in excess of \$250. (excluding gifts from relatives), and third party reimbursements for travel related expenditures.)

NONE

Source _____

Description _____

3c). Identify any other source of Income & Investment for your Dependent Child:

(Retirement, pension, child support, consultant fees, teaching fees, investments or capital stock above a 5% share, gifts in excess of \$250. (excluding gifts from relatives), and third party reimbursements for travel related expenditures.)

NONE

Source _____

Description _____

4a). Real Estate Holdings within the County or within 5 miles of the County that you hold:

Reporting Category

NONE { } I rent { } Property located outside 5 mile range { }

Address _____
 Description Residence Rental Business Other

Joint Holdings with Spouse { }

4b). Real Estate Holdings within the County or within 5 miles of the County that your Spouse holds:

NONE { } I rent { } Property located outside 5 mile range { }

Address _____
 Description Residence Rental Business Other

4c). Real Estate Holdings within the County or within 5 miles of the County that your Dependent Child holds:

NONE { } I rent { } Property located outside 5 mile range { }

Address _____
 Description Residence Rental Business Other

5a). Describe all of your debts in excess of \$5,000. each. (Mortgages, personal, car & student loans, credit cards, etc.)

NONE over \$5,000. { }

Reporting Category

_____	_____
_____	_____
_____	_____

Last Name: _____ 2016

5b). Describe all of your **spouse's** debts in excess of \$5,000. each. (Mortgages, personal, car & student loans, credit cards, etc.)

NONE over \$5,000. { }

Reporting Category

5c). Describe all of your **dependent child's** debts in excess of \$5,000. each. (Mortgages, personal, car & student loans, credit cards, etc.)

NONE over \$5,000. { }

6a). Political Parties. (List any position held within the last five 5 years as an officer of any party, committee or organization of a political party.)

Yourself _____ None

6b). **Spouse** _____ None

6c). **Dependent Child** _____ None

Thank you.